

Socio-Economic Profile of Rural Households in India

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Direct Concerns to: Ethics Committee, Post Box No. 60, Anand 388001. Gujarat. India. Phone: 02692 - 260 391

ADULT CONSENT FORM

Household # _____ Respondent # _____

Dear [name of adult 15 years and above]:

You have been selected to be part of a survey on household well-being and economic development carried out by the Institute of Rural Management Anand in Gujarat, India and Brown University in the United States of America. You have been selected because you are a part of a village that was first interviewed as part of the Additional Rural Incomes Survey in 1968 by surveyors from the National Council of Applied Economic Research in Delhi. You or a member of your household may also have been interviewed in 1969, 1970, 1982, 1999 or 2006.

How the survey will measure the economic well-being of your household

The survey will collect sources of income in the households and particular details on any crops that you cultivate. It will collect information on household expenditures on food and other goods. It will collect information on education and employment of household members. For children from 9-14 it will collect information weight and height and the type of school as well as some basic tests of language and math ability. The survey will collect details on public programs that you or your household may have benefitted from. It will obtain some information on other people from the village from whom you may obtain information or credit or interact socially. It will collect information on assets in the household as well as a history of past heads of this household. Finally, it will collect information of migrants from the household since 2006. The interview will take around 12 hours per household. The information we will collect from you and your household will be used in research to measure economic well-being of rural Indian households and to formulate policies and schemes to improve them in future.

Your participation is voluntary

This research study is called "Socioeconomic Panel of Rural India" and is directed by Hari Nagarajan a Reserve Bank of India Chair at the the Institute of Rural Management Anand in Gujarat, India. Your participation in the survey is voluntary. You may refuse to answer any question or take any test. We further want to remind you that your participation in the development activities sponsored either by the Indian government or by NGOs in the

village will not be affected if you do not concur to participate in our survey. There are no foreseeable physical risks to participating in the survey.

Information collected is strictly confidential

We will keep all identifying information obtained from you and your household confidential. We will not disclose your name to anyone except the survey team from the Institute of Rural Management Anand. The information we collect will be used only for research purposes. Your name and address will not be given to any government or non-government agency or to any individuals except the research team from the Institute of Rural Management in Anand.

If you have any questions about the survey and its use, please contact the Project Director, Hari Nagarajan, at the Institute of Rural Management Anand.

Our field Research Team will be very happy to provide you with his contact address at the Institute of Rural Management Anand or to pass on a message to him.

Would you like to participate in this survey? If so, please sign this form or provide me with verbal consent.

Agreed to Participate in the Survey: _____

Not Agreed to Participate in the Survey: _____

Name of Interviewer: _____

Signature: _____

Respondent: _____ Date: _____

NOTIFICATION OF CONSENT STATEMENT FOR CHILDREN 9-14 YEARS OF AGE

[The interviewer will read this statement to the child after receiving parental and introducing themselves to the child, but prior to any part of the survey collecting information on specific children.]

My name is _____ Your [mother/father/guardian] said that I could talk to you.

We need your help with this study about the health and educational ability of children. You do not have to answer any questions or take any tests that you do not want to. If I ask you any questions that you do not want to answer, or to do something that you do not want to do, just tell me and I will move on. But, we hope you will answer as many questions as you can because it will make the study better.

When we write a report about this study, it will not tell anyone which answers you gave. Also, we will not tell anyone your name or whether you let us talk to you or give you tests. Your school, village leaders, and the officials of the government will not know what answers you gave to questions or the results of tests that you take, or even if you decided that you did not want to answer any questions at all.

PARENTAL CONSENT FORM

Household ID # _____

Person # _____ of parent

Child # _____

[After reading and receiving the consent of adult respondents with the adult consent form, those that are parents or guardian of children under the age of 15 will be read the following.]

Dear [parent of child 14 and below]: _____

In addition to collecting information on adults in this study, we are also collecting information on all children who live with them. All this information is for research purposes only.

How the survey will measure your child's health and nutrition

The study will weigh and measure children. If they are 8 years old or above, we will also ask them to take some tests of cognitive achievement and logical reasoning in which they will not need to write any answers. They can answer all the questions orally or by pointing with their finger. The information we will collect from your children will be used in research to measure health and educational skills in India and to formulate policies to improve them in future.

Your child's participation is voluntary

As before, the participation of your child [name of child] in the survey is voluntary. You may refuse to have him/her answer any question or take any test. We further want to remind you that your child's participation in the development activities sponsored either by India government or by NGOs in the village will not be affected if you do not concur to participate in our survey. There are no foreseeable physical risks to participating in the survey.

Information collected is strictly confidential

We will keep all identifying information obtained from your child and others in your household confidential. We will not disclose names to anyone except the survey team from the Institute of Rural Management Anand. The information we collect will be used only for research purposes. Your name and address and that of your child will not be given to any government or non-government agency or to any individuals except the research team from the Institute of Rural Management Anand.

If you have any questions about the survey and its use, please contact the Project Director, Professor Hari Nagarajan, at the Institute of Rural Management Anand.

Our field Research Team will be very happy to provide you with his contact address at the Institute of Rural Management Anand, or to pass on a message to him.

Would you like to consent to having you child participate in this survey? If so, please sign this form or provide me with verbal consent.

Agreed to Participate in the Survey: _____

Not Agreed to Participate in the Survey: _____

Name of Interviewer: _____ Signature: _____

Respondent: _____ Date: _____